# IAP15 Rec'd PCT/PTO 21 AUG 2006.

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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/534960 Filing Date TRANSMITTAL May 16, 2005 First Named Inventor **FORM** Peter D. Kozel Art Unit N/A (to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned Attorney Docket Number 35 B1075.70036US02 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Change of Correspondence Address Affidavits/declaration(s) Status Letter Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Copy of Search Report **Express Abandonment Request** Request for Refund Return Receipt Postcard x | Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application If a fee due, the Commissioner is hereby authorized to charge any Reply to Missing Parts under deficiency or credit any overpayment to Deposit Account No. 23/2825. 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WOLF, GREENFIELD & SACKS, P.C. Signature Printed name Melissa A. Beede Reg. No. Date 54,986 August 18, 2006

Certificate of Mailing Under 37 CFR 1.8(a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Dated: August 18, 2006  Signature: (Heather A. McLennand)		
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Dated: August 18, 2006 Signature: Alexandria (Heather A. McLennand)	I hereby certify that this paper (along with any paper ref the date shown below with sufficient postage as First Cl	ferred to as being attached or enclosed) is being deposited with the U.S. Postal Service on
Dated: August 18, 2006 Signature: (Heather A. McLennand)	Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	1/ 1: 1/ /
	Dated: August 18, 2006	Signature: (Heather A. McLennand)

DOCKET NO.: B1075.70036US02

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter D. Kozel 10/534,960

Serial No.: Confirmation No.:

1630

Filed:

May 16, 2005

For:

ELECTROPHYSIOLOGY CATHETER WITH ABLATION

**ELECTRODE** 

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(engust 18, 2006

# Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case.

No fee or certification is required.

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Conf. No.: 1630

# PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

# Search Report from International Application No. PCT/US03/36488

Applicants would like to bring to the Examiner's attention the enclosed search report and written opinion dated May 28, 2004 from International Application No. PCT/US03/36488 (International Filing Date 17 November 2003).

# PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Melissa A. Beede Reg. No. 54,986

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Building 600 Atlantic Avenue

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(617) 646-8000

Docket No.: B1075.70036US02

Date: August 18, 2006

AUG 2 1 2006				
FORM PTO-1449/A and B (modified PTO/SB/08)	APPLICATION NO.:	10/534,960	ATTY. DOCKET NO.: B1075,700	36US02
INFORMATION DISCLOSURE	FILING DATE:	May 16, 2005	CONFIRMATION NO.: 1630	
STATEMENT BY APPLICANT	APPLICANT:	Peter D. Kozel		
	GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet as:	signed

#### U.S. PATENT DOCUMENTS

of

Sheet

Examiner's Cit	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited Document	Date of Publication or Issue
Initials #	No.	Number	Kind Code		of Cited Document MM-DD-YYYY
	1	US-2002/072744	A1	Bowman et al.	06-13-2002
	2	US-6,030,382	Α	Fleischman et al.	02-29-2000
	3	US-6,280,441	B1	Patrick et al.	08-28-2001
	4	US-6,287,306	B1	Pendakanti et al.	09-11-2001
	5	US-6,413,255	B1	Stern	07-02-2002
	6	US-6,456,863	B1	Fleischman et al.	11-07-2001

#### FOREIGN PATENT DOCUMENTS

Examiner's Cir	Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials #	No.	Office/ Country	Number	Kind Code	Document Document	Cited Document MM-DD-YYYY	(Y/N)
	7	EP	1151726	Α		11-07-2001	N
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#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER:	DATE CONSIDERED:	

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).